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CONFIRMATION NO. 5613

SERIAL NUMBER 10/037,146	FILING OR 371(c) DATE 12/21/2001 RULE	CLASS 385	GROUP ART UNIT 2874	ATTORNEY DOCKET NO. CQC13NP
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APPLICANTS

Oskar J. Painter, Pasadena, CA;
 Peter C. Sercel, Pasadena, CA;
 Kerry J. Vahala, San Gabriel, CA;
 David W. Vernooy, Sierra Madre, CA;
 Guido Hunziker, Altadena, CA;

✓ ✓

** CONTINUING DATA *****

This appln claims benefit of 60/257,248 12/21/2000
 and claims benefit of 60/257,218 12/21/2000

✓ ✓

** FOREIGN APPLICATIONS *****

None ✓

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **
 01/31/2002

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 22	TOTAL CLAIMS 83	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature Initials				

ADDRESS

36394

TITLE

RESONANT OPTICAL MODULATORS

FILING FEE RECEIVED 937	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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** CONTINUING DATA *****

THIS APPLN CLAIMS BENEFIT OF 60/257,248 12/21/2000
 AND CLAIMS BENEFIT OF 60/257,218 12/21/2000
 AND CLAIMS BENEFIT OF 60/111,484 12/07/1998
 AND CLAIMS BENEFIT OF 60/108,358 11/13/1998
 AND CLAIMS BENEFIT OF 60/183,499 02/17/2000
 AND CLAIMS BENEFIT OF 60/226,147 08/18/2000
 AND CLAIMS BENEFIT OF 60/170,074 12/09/1999
 AND CLAIMS BENEFIT OF 60/257,218 12/21/2000
 AND CLAIMS BENEFIT OF 60/257,248 12/21/2000
 AND CLAIMS BENEFIT OF 60/301,519 06/27/2001
 AND CLAIMS BENEFIT OF 60/333,236 11/23/2001

✓ ✓

** FOREIGN APPLICATIONS *****

None ✓

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
 ** 01/31/2002

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after	CA	22	83	2
Verified and Acknowledged	Allowance Examiner's Signature	Initials			

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26719

TITLE

Resonant optical modulators

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